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S P T O

19587 U S P T O 07828930

PTO/SB/05 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

*Attorney Docket No.* 358-001CIPC*First Inventor* John Man Kwong Kwan*Title* SYSTEM AND METHOD FOR  
DIGITALLY MARKING A FILE WITH A  
REMOVABLE MARK*Express Mail Label No.* EV330475785US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**  
Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 33]  
*(preferred arrangement set forth below)*  
 - Descriptive title of the invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table, or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 18]

5. Oath or Declaration [Total Sheets 2]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*  
 i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*

a.  Computer Readable Form (CRF)

b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  paper

c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: -Prepaid Return Postcard  
-Credit Card Form in the amount of \$385.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: 09/528,362

Prior application information:

Examiner Arani, Taghi T.

Art Unit: 2131

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS** Customer Number:

23429

OR

 Correspondence address below

Name	Gregory Smith & Associates					
Address	3900 Newpark Mall Road, Suite 317					
	City	Newark	State	CA	Zip Code	94560
Country	US	Telephone	(510) 742-7417		Fax	(510) 742-7419

Name (Print/type)	GREGORY SCOTT SMITH	Registration No. (Attorney/Agent)	38309
Signature			

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 385.00)

## Complete if Known

Application Number	Not yet known
Filing Date	April 20, 2004
First Named Inventor	John Man Kwong Kwan
Examiner Name	Not yet known
Art Unit	Not yet known
Attorney Docket No.	358-001CIPC

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																								
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ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee</th> <th>Description</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65 Surcharge – late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25 Surcharge – late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130 Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520 For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> 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month		1252	420	2252	210 Extension for reply within second month		1253	950	2253	475 Extension for reply within third month		1254	1,480	2254	740 Extension for reply within fourth month		1255	2,010	2255	1,005 Extension for reply within fifth month		1401	330	2401	165 Notice of Appeal		1402	330	2402	165 Filing a brief in support of an appeal		1403	290	2403	145 Request for oral hearing		1451	1,510	1451	1,510 Petition to institute a public use proceeding		1452	110	2452	55 Petition to revive – unavoidable		1453	1,330	2453	665 Petition to revive – unintentional		1501	1,330	2501	665 Utility issue fee (or reissue)		1502	480	2502	240 Design issue fee		1503	640	2503	320 Plant issue fee		1460	130	1460	130 Petitions to the Commissioner		1807	50	1807	50 Processing fee under 37 CFR 1.17(q)		1806	180	1806	180 Submission of Information Disclosure Stmt		8021	40	8021	40 Recording each patent assignment per property (times number of properties)		1809	770	2809	385 Filing a submission after final rejection 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SUBMITTED BY		Complete if applicable		
Name (Print/Type)	GREGORY SCOTT SMITH		Registration No. (Attorney/Agent)	38309
Signature			Telephone	(510) 742-7417
			Date	4/20/04

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